

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
<b>Marc BELLOTTI et al.</b>	)	
	)	Art Unit: <b>Not yet assigned</b>
Serial No. <b>Not yet assigned</b>	)	
	)	Examiner: <b>Not yet assigned</b>
Filed: <b>Herewith</b>	)	
	)	
For: <b>Methods and Apparatus for Creating</b>	)	
<b>Particle Derivatives of HDL with</b>	)	
<b>Reduced Lipid Content</b>	)	

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INFORMATION DISCLOSURE STATEMENT

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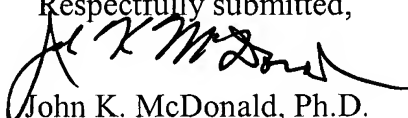
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Respectfully submitted,

  
John K. McDonald, Ph.D.  
Reg. No. 42,860

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1100 Peachtree Street, Suite 2800  
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Our Docket: 13131-0331 (44378/293531)

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Substitute for Form 1449/A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	Unassigned
		Filing Date	Herewith
		First Named Inventor	Marc BELLOTTI et al.
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
Sheet 1	of 2	Attorney Docket Number	13131-0331 (44378/293531)

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1.	4,234,317		Flordeliza F. LUCAS et al.	11-18-1980	
	2.	4,399,217		Leif T. HOLMQUIST et al.	08-16-1983	
	3.	4,463,988		Larry S. BOUCK et al.	08-07-1984	
	4.	4,677,057		Linda K. Curtiss et al.	06-30-1987	
	5.	4,775,483		Sailen S. MOOKERJEA et al.	10-04-1988	
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	7.	5,126,240		Linda K. CURTISS	06-30-1992	
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	10.	5,962,322		Karen KOZARSKY et al.	10-05-1999	
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	15.	6,605,588		Ann M. LEES et al.	08-12-2003	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>2</sup> (if known)				
	16.	SU	1752187		SIGALOV	12/11/1990	Abstract only	

**OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	17.	ASZTALOS, BELA F., et al., "Distribution of Apo A-I-Containing HDL Subpopulations in Patients with Coronary Heart Disease," Arterioscler. Thromb. Vasc. Biol., pp. 2670-2676, (December 2000).	
	18.	ASZTALOS, BELA, F., et al., "Presence and Formation of 'Free Apolipoprotein A-I-Like' Particles in Human Plasma," Arteriosclerosis, Thrombosis, and Vascular Biology, 15: pp. 1419-1423, American Heart Association, Inc., (1995).	
	19.	ASZTALOS, BELA, et al., "Role of Free Apolipoprotein A-I in Cholesterol Efflux," Arteriosclerosis, Thrombosis, and Vascular Biology, 17: pp. 1630-1636, American Heart Association, Inc., (1997).	

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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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Sheet 2 of 2	Attorney Docket Number	13131-0331 (44378/293531)	

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	20.	BARRANS, ALAIN, et al., "Pre-β HDL: Structure and Metabolism," Biochimica et Biophysica Acta 1300, pp. 73-85, (1996).	
	21.	CRUZADO, INGRID D., et al., "Characterization and Quantitation of the Apoproteins of High-Density Lipoprotein by Capillary Electrophoresis," Analytical Biochemistry, 243, Article No. 0487, pp. 100-109 (1996).	
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	29.	SEGREST, JERE P., et al., "A Detailed Molecular Belt Model for Apolipoprotein A-I in Discoidal High Density Lipoprotein," The Journal of Biological Chemistry, Vol. 274, No. 45, pp. 31755-31758, Issue of November 5, 1999.	
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	31.	WORMSER, HENRY, Ph.D., "Lipids," PSC 3110, Fall Semester 2002.	
	32.	ZHANG, WENWU, et al., "Characterization of Phospholipids in pre-α HDL: Selective Phospholipid Efflux with Apolipoprotein A-I," Journal of Lipid Research, Volume 39, pp. 1601-1607 (1998).	

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<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language translation is attached.